

London School of Hygiene and Tropical Medicine

PLANNING OF INVESTIGATIONS

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LECTURE 3

- Formulation of questions
- Study design
- Measurement issues
- Analysis
- Interpretation

- Units of study
 - Intrinsic variables
 - Explanatory variables
 - Outcomes
-
- Cross-sectional studies
 - Prospective observational studies
 - Retrospective observational studies
 - Experiments

1. Implementation criteria

- Avoidance of systematic error
- Control of random error

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- Multiplicity of questions (factorial principle)
- Intermediate assessment and modification
 1. Initial data audit; sampling principles
 2. Inadequate accrual
 3. More major intermediate changes; when are these desirable?
 4. Dealing with unanticipated complications

2. Factorial design

- Contrast with the large simple trial
- A traditional type example
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 1. Rahman et al (2011); Ma et al (*J. Nat. Cancer Inst.* **104** (2012), 488-492)
 2. Shandong intervention trial; 3 distinct treatments for reducing prevalence of precancerous gastric lesions and longer term outcomes: 7 years of garlic extract and oil; 7 years of vitamin and selenium treatment; 2 weeks of antibiotic treatment. 8 combinations. More than 3000 patients with follow up. Strong effect of antibiotic treatment. At most minor effects of others and no serious interaction.

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- Key idea; three binary factors, A, B, C : 8 combinations.

2^3 design

$a_0 b_0 c_0, a_1 b_0 c_0, a_0 b_1 c_0, a_1 b_1 c_0, a_0 b_0 c_1, a_1 b_0 c_1, a_0 b_1 c_1, a_1 b_1 c_1,$

Half replicate:

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Interaction B times C

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- Blot et al (*J. Nat. Cancer Inst.* **85** (1993), 1483-1482) used one-half replicate of 2^4 with 30,000 patients. Justification

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- 4 combinations of nutrients for lowering incidence and mortality from stomach cancer
- design: 1/2 replicate of 2^4

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5. Only possibilities

A A B B

A B A B and three more by interchanging *A* and *B*

A B B A

- More complex schemes of randomization
- Data dependent randomization with unequal probabilities
- Outcome dependent randomization

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Additional References

Blot, W.J. and 17 others (1993). Nutrition intervention trials in Linxian, China: supplementation with specific vitamin/mineral combinations. Cancer incidence, and disease-specific mortality in the general population. *J. Nat. Cancer Inst.* **85**, 1483-1491.

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